

Human Trafficking and Anti-Slavery Statement For Financial Year 2020

LyondellBasell Industries N.V. is committed to conducting business in an ethical and responsible manner, which includes complying with applicable slavery and human trafficking laws. In accordance with the requirements of the United Kingdom's Modern Slavery Act 2015 and The California Transparency in Supply Chains Act of 2010 (the "Acts") LyondellBasell Industries N.V. (together with each of its subsidiaries subject to the Acts, "LyondellBasell") makes the following information publicly available with respect to its efforts to ensure that slavery and human trafficking is not taking place in any of its supply chains and in any part of its business.

LyondellBasell has numerous programs and standards that reflect the objectives of the Acts including the following:

- Authorized Economic Operator (AEO) in the European Union

Since 2010, LyondellBasell has actively participated in the AEO program in the European Union. AEO certifications are based on a voluntary certification program which aims to enhance international supply chain security, facilitate legitimate trade and ensure the integrity of the global supply chain. The AEO certification is granted by national customs authorities according to uniform criteria and requires, *inter alia*, high level of control of the flows of goods and the absence of serious or repeated infringements of customs legislation and taxation rules, including no record of serious criminal offences relating to the economic activity of the AEO. The AEO program involves customs audits prior to granting AEO certification and continuous supervision by customs authorities (including ad-hoc audits) of the activities of already AEO certified companies.

- U.S. Customs – Trade Partnership Against Terrorism (C-TPAT)

LyondellBasell has actively participated in the U.S. C-TPAT program since 2003. Like AEO, C-TPAT is a voluntary U.S. government program designed to increase security throughout the global supply chain. The C-TPAT program involves risk-based audits of program participants and unannounced audits of their suppliers.

- LyondellBasell's Supplier Code of Conduct

LyondellBasell has adopted, and incorporated in its standard purchase order terms and conditions, a [Supplier Code of Conduct](#) that requires vendors support the protection of internationally recognized human rights and prohibit the use of forced, indentured or involuntary labor (including modern slavery and human trafficking) and child labor.

- LyondellBasell's Code of Conduct

LyondellBasell's [Code of Conduct](#) embodies our dedication to conducting business ethically and responsibly by obeying the law and enabling a safe and productive work environment. LyondellBasell's Code of Conduct states that "we take great care to uphold human rights in all of our operations and facilities, regardless of local custom. We recognize that our Company has a responsibility to respect and protect human rights by doing the following: We do not use child or forced, indentured or involuntary labor."

All employees, officers, directors, and anyone doing business on behalf of LyondellBasell are expected to know and abide by this Code of Conduct at all times. Rigorous training on the content and application of the Code of Conduct is mandatory for each and every employee, and each employee must periodically acknowledge that they have read, understood, and agree to comply with the Code of Conduct. LyondellBasell takes seriously and fully investigates all potential Code of Conduct violations. The failure to report any suspected Code of Conduct violation or misconduct immediately may also be considered a violation of the Code of Conduct. When an allegation of an employee Code of Conduct violation is substantiated, the relevant management team reviews the investigation findings and determines disciplinary action consistent with the severity of the violation. Disciplinary action can include verbal or written warning, suspension with or without pay, demotion or, for the most serious offenses or repeated misconduct, employment termination.

While LyondellBasell frequently reviews its policies and procedures, the initiatives listed above represent the current extent of LyondellBasell's (1) verification of product supply chains to evaluate and address slavery and human trafficking risks in furtherance of the Acts (LyondellBasell may not conduct such verifications through third-parties); (2) auditing of suppliers to evaluate supplier compliance with LyondellBasell's standards regarding the Acts (LyondellBasell does not necessarily conduct such audits in an independent or unannounced manner); (3) requirements of direct suppliers to certify that the materials incorporated in LyondellBasell's products comply with laws regarding slavery and human trafficking in the countries where the suppliers do business; (4) maintenance of internal standards and processes for employees and contractors who fail to meet company standards regarding the Acts; and (5) the provision of training for employees who have direct responsibility for supply chain management on this subject matter.

(Signature is required only by the UK Modern Slavery Act and not the California Transparency in Supply Chains Act.)



Bhavesh (Bob) Patel, Chief Executive Officer and Director
LyondellBasell Industries N.V.

The above information has been provided as required by the UK Modern Slavery Act and the California Transparency in Supply Chains Act and was approved by the Board of Directors of LyondellBasell Industries N.V. on 25 February 2021.