

## U.K. Slavery and Human Trafficking Statement For Financial Year 2019

In accordance with the requirements of the United Kingdom's Modern Slavery Act 2015 (the "Act") LyondellBasell Industries N.V. (together with each of its subsidiaries subject to the Act, "LyondellBasell") makes the following information publicly available with respect to its efforts to ensure that slavery and human trafficking is not taking place in any of its supply chains and in any part of its business.

First and foremost, LyondellBasell is committed to conducting business in an ethical and responsible manner, which includes complying with applicable slavery and human trafficking laws. LyondellBasell does not typically have direct relationships with suppliers in countries where human trafficking originates, nor where child slavery is prevalent, such as those identified in the United Nations' 2014 Global Report on Trafficking in Persons and the International Labor Organization's 2015 World Report on Child Labor.

LyondellBasell has numerous programs and standards that reflect the objectives of the Act including the following:

- Authorized Economic Operator (AEO) in the European Union

Since 2010, LyondellBasell has actively participated in the AEO program in the European Union. AEO certifications are based on a voluntary certification program which aims to enhance international supply chain security, facilitate legitimate trade and ensure the integrity of the global supply chain. The AEO certification is granted by national customs authorities according to uniform criteria and requires, *inter alia*, high level of control of the flows of goods and the absence of serious or repeated infringements of customs legislation and taxation rules, including no record of serious criminal offences relating to the economic activity of the AEO. The AEO program involves customs audits prior to granting AEO certification and continuous supervision by customs authorities (including ad-hoc audits) of the activities of already AEO certified companies.

- U.S. Customs – Trade Partnership Against Terrorism (C-TPAT)

LyondellBasell has actively participated in the U.S. C-TPAT program since 2003. Like AEO, C-TPAT is a voluntary U.S. government program designed to increase security throughout the global supply chain. The C-TPAT program involves risk-based audits of program participants and unannounced audits of their suppliers.

- LyondellBasell's Purchase Order Terms and Conditions

LyondellBasell's standard purchase order terms and conditions require suppliers to comply with all applicable laws, including forced labor and human trafficking laws.

- LyondellBasell's Code of Conduct

LyondellBasell's [Code of Conduct](#) embodies our dedication to conducting business ethically and responsibly by obeying the law and enabling a safe and productive work environment. LyondellBasell's Code states that "we take great care to uphold human rights in all of our operations and facilities, regardless of local custom. We recognize that our Company has a responsibility to respect and protect human rights by doing the following: We do not use child or forced, indentured or involuntary labor."

All employees, officers, directors, and anyone doing business on behalf of LyondellBasell are expected to know and abide by this Code at all times. Rigorous training on the content and application of the Code is mandatory for each and every employee, and each employee must periodically acknowledge that they have read, understood, and agree to comply with the Code. LyondellBasell takes seriously and fully investigates all potential Code violations. The failure to report any suspected Code violation or misconduct immediately may also be considered a violation of the Code. When an allegation of an employee Code violation is substantiated, the relevant management team reviews the investigation findings and determines disciplinary action consistent with the severity of the violation. Disciplinary action can include verbal or written warning, suspension with or without pay, demotion or, for the most serious offenses or repeated misconduct, employment termination.



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Bhavesh (Bob) Patel, Chief Executive Officer and Director  
LyondellBasell Industries N.V.

*This statement was approved by the Board of Directors of LyondellBasell Industries N.V. on 19 February 2020.*